



For over 15 years, FNI has specialized in consumer, vehicle and portfolio protection products, product compliance and product management for financial institution clients around the country. FNI President David Bafumo graduated from Washington & Lee University Law School and is a member of the Virginia State Bar.

FNI Protection Product Compliance Services address the most critical compliance risk areas of offering and providing funding for protection products. Incorporating cutting edge best practices for meeting evolving consumer protection compliance expectations, FNI services are specially designed to establish documentation and evidence that demonstrates your compliance efforts in the event of regulatory investigation or examination, or consumer litigation.

- ☐ **Protection Product & Vendor Management Binder** – Based on Service Provider & Add On Product CFPB guidance bulletins, Examination Manuals, regulatory enforcement actions and consent orders, reported finance industry product litigation and state enforcement actions, and emerging consumer protection trends.
 - ✓ Product Purpose & Policy Statement
 - ✓ Vendor & Marketing Material Due Diligence
 - ✓ Product Underwriting & Funding Policy
 - ✓ Product Compensation Policy
 - ✓ Sales & Marketing Policy
 - ✓ Product Sales & Marketing Training Process
 - ✓ Training Certification Template
 - ✓ Customer Interaction/Benefit Management Charts
- ☐ **Guided On-Site Vendor Due Diligence and Vendor Agreement Assistance**
- ☐ Custom **Protection Product Disclosure Form(s)**
- ☐ Ongoing **Product & Vendor Management**
- ☐ **On Demand** product compliance, management support and training

Whether you have an existing captive product array, provide additional funding for dealer sourced products, are considering a new product program or seeking a second opinion, FNI's industry leading protection product solutions and compliance services eliminate risk, maximize value and enhance portfolio performance.

CONTACT FNI TODAY FOR A CONFIDENTIAL CONSULTATION.
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